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NOTICE OF ALLOWANCE AND FEE(S) DUE

22242 7590 09/03/2010

FITCH EVEN TABIN & FLANNERY
120 SOUTH LASALLE STREET
SUITE 1600
CHICAGO, IL 60603-3406

EXAMINER

NGO, TANYA T

ART UNIT

PAPER NUMBER

2613

DATE MAILED: 09/03/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/590,055	08/21/2006	Koji Kikushima	6700-89059	5610

TITLE OF INVENTION: OPTICAL TRANSMITTING DEVICE, OPTICAL TRANSMISSION SYSTEM, OPTICAL TRANSMITTING METHOD AND
OPTICAL TRANSMISSION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/03/2010

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. **PROSECUTION ON THE MERITS IS CLOSED.** THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN **THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE** OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. **THIS STATUTORY PERIOD CANNOT BE EXTENDED.** SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail **Mail Stop ISSUE FEE**
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 or Fax **(571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

22242 7590 09/03/2010
FITCH EVEN TABIN & FLANNERY
120 SOUTH LASALLE STREET
SUITE 1600
CHICAGO, IL 60603-3406

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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TITLE OF INVENTION: OPTICAL TRANSMITTING DEVICE, OPTICAL TRANSMISSION SYSTEM, OPTICAL TRANSMITTING METHOD AND OPTICAL TRANSMISSION METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	12/03/2010

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGO, TANYA T	2613	398-183000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a **Customer Number is required.**

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, 1 _____
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☐ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
☐ Payment by credit card. Form PTO-2038 is attached.
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number _____ (enclose an extra copy of this form).

5. **Change in Entity Status** (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature _____ Date _____
 Typed or printed name _____ Registration No. _____

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/590,055	08/21/2006	Koji Kikushima	6700-89059	5610
22342	7590	09/03/2010	EXAMINER	
FITCH EVEN TABIN & FLANNERY 120 SOUTH LASALLE STREET SUITE 1600 CHICAGO, IL 60603-3406			NGO, TANYA T	
			ART UNIT	PAPER NUMBER
			2613	
DATE MAILED: 09/03/2010				

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 229 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 229 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (<http://pair.uspto.gov>).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

Application No.

10/590,055

Examiner

TANYA NGO

Applicant(s)

KIKUSHIMA ET AL.

Art Unit

2613

- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to 1/27/2010.
2. ☒ The allowed claim(s) is/are 1,3-8,10-14 and 31(renumbered claims 1-13).
3. ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some* c) ☐ None of the:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.

THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
- (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
- 1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
- (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
- Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

1. ☒ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date _____
4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
5. ☐ Notice of Informal Patent Application
6. ☐ Interview Summary (PTO-413), Paper No./Mail Date _____
7. ☐ Examiner's Amendment/Comment
8. ☒ Examiner's Statement of Reasons for Allowance
9. ☐ Other _____.

/Kenneth N Vanderpuye/
Supervisory Patent Examiner, Art Unit 2613

DETAILED ACTION

Allowable Subject Matter

1. Claims 1, 3-8, 10-14, and 31 are allowed.
2. The following is an examiner's statement of reasons for allowance:

Re claim 1, the prior art fails to teach an optical transmitting device of modulating an optical signal by a first signal and then by a second signal for transmission, the device comprises:

an optical splitting means for splitting the optical signal modulated by the first signal into split optical signals, the optical signal modulated by the first signal including one or more harmonic distortions of the first signal;

a cancellation signal generating means for generating a cancellation signal from one of the split optical signals, the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

a combining means for combining the second signal with the cancellation signal;
and

a modulating means for modulating the other of the split optical signals with the combined signal.

Farina (*US Patent 5,515,199*) discloses an optical transmission device of modulating an optical signal by a first signal, the device comprises:

an optical splitting means for splitting the optical signal modulated by the first signal into split optical signals (*the optical signal is input into a modulator 52 and is modulated with*

signals S in the main modulator, 54. The modulated main optical signal is split in the modulator into two parts and Output from the modulator), the optical signal modulated by the first signal including one or more harmonic distortions of the first signal (the main optical signal contains all the components S and includes distortion, Fig. 5. Furthermore, the present system is drawn toward correcting harmonic distortions, therefore the distortion in the system comprises of harmonic distortion);

a cancellation signal generating means for generating a cancellation signal from one of the split optical signals (the portion of the main modulated optical beam that is tapped is amplified to the delay and equalization circuit 68, and continues through a signal combiner and is eventually fed into correction modulator 54. The optical beams from the main modulator 52 and the correction modulator 54 and then combined at the final optical coupler 72 to achieve cancellation of nonlinear distortion products, Col. 8, lines 45-57)

a modulating means for modulating the other of the split optical signals with the cancellation signal (correction modulator 54, Fig. 5, outputs a modulated signal that was modulated by the electrical signal and phase shifted by delay element and is eventually coupled with the other split optical signal, which modulates the split optical signal. Therefore, the other split optical signal is modulated with a distortion canceling signal in the coupler which originates from the electrical signal).

However, Farina does not expressly teach:

the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

a combining means for combining the second signal with the cancellation signal;
and

a modulating means for modulating the other of the split optical signals with the cancellation signal.

Kochi (*Japanese Patent Publication 06-104867*) discloses an optical transmission device of modulating an optical by a first signal and then by a second transmission, the device comprises:

a modulating means for modulating the optical signal modulated by the first signal with a second optical signal (*plural optical modulators 2-1 to 2-n for performing light intensity modulation with electric signals from the corresponding signal order corresponding to the respective signal source 1-1 to 1-n are provided where the optical modulators are cascade arranged so as to successively from the light intensity modulation*).

However, **Kochi** does not expressly teach:

an optical splitting means for splitting the optical signal modulated by the first signal into split optical signals, the optical signal modulated by the first signal including one or more harmonic distortions of the first signal;

a cancellation signal generating means for generating a cancellation signal from one of the split optical signals, the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

a combining means for combining the second signal with the cancellation signal;
and

a modulating means for modulating the other of the split optical signals with the combined signal.

Re claim 8, prior art fails an optical transmitting method for modulating an optical signal by a first signal and then by a second signal for transmission, the method comprises:

splitting the optical signal modulated by the first signal into split optical signals, the optical signal modulated by the first signal including one or more harmonic distortions of the first signal;

generating a cancellation signal from one of the split optical signals, the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

combining the second signal with the cancellation signal; and
modulating the other of the split optical signals with the combined signal.

Farina (*US Patent 5,515,199*) discloses an optical transmission device of modulating an optical signal by a first signal, the device comprises:

splitting the optical signal modulated by the first signal into split optical signals (*the optical signal is input into a modulator 52 and is modulated with signals S in the main modulator, 54. The modulated main optical signal is split in the modulator into two parts and Output from the modulator*), the optical signal modulated by the first signal including one or more harmonic distortions of the first signal (*the main optical signal contains all the components S and includes distortion, Fig. 5. Furthermore, the present system is drawn toward*

correcting harmonic distortions, therefore the distortion in the system comprises of harmonic distortion);

generating a cancellation signal from one of the split optical signals (the portion of the main modulated optical beam that is tapped is amplified to the delay and equalization circuit 68, and continues through a signal combiner and is eventually fed into correction modulator 54. The optical beams from the main modulator 52 and the correction modulator 54 and then combined at the final optical coupler 72 to achieve cancellation of nonlinear distortion products, Col. 8, lines 45-57)

modulating the other of the split optical signals with the cancellation signal (correction modulator 54, Fig. 5, outputs a modulated signal that was modulated by the electrical signal and phase shifted by delay element and is eventually coupled with the other split optical signal, which modulates the split optical signal. Therefore, the other split optical signal is modulated with a distortion canceling signal in the coupler which originates from the electrical signal).

However, **Farina** does not expressly teach:

the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

combining the second signal with the cancellation signal; and

modulating the other of the split optical signals with the combined signal.

Kochi (*Japanese Patent Publication 06-104867*) discloses an optical transmission device of modulating an optical by a first signal and then by a second transmission, the device comprises:

modulating the optical signal modulated by the first signal with a second optical signal (*plural optical modulators 2-1 to 2-n for performing light intensity modulation with electric signals from the corresponding signal order corresponding to the respective signal source 1-1 to 1-n are provided where the optical modulators are cascade arranged so as to successively from the light intensity modulation*).

However, **Kochi** does not expressly teach:

splitting the optical signal modulated by the first signal into split optical signals, the optical signal modulated by the first signal including one or more harmonic distortions of the first signal;

generating a cancellation signal from one of the split optical signals, the cancellation signal including a frequency spectrum at least partially overlapped with a frequency spectrum of the second signal;

combining the second signal with the cancellation signal; and

modulating the other of the split optical signals with the combined signal.

3. The following patents and patent applications are cited to show the state of the art with respect to optical compensation or modulation with a second signal:

(US-5161044, US-5303393, US-5422681, US-5430568, US-5515199, US-5739934, US-5812297, US-6005701, US-6061161, US-6366712, US-20050239406, US-20050244155, US-7200399, US-20070212073, JP-3339031, JP-06-104867, JP-2001-015243).

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany

the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TANYA NGO whose telephone number is (571) 270-7488. The examiner can normally be reached on M - F from 9 am - 5 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kenneth Vanderpuye can be reached on (571) 272-3078. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ngo/
August 19, 2010

Application/Control Number: 10/590,055

Page 9

Art Unit: 2613

/Kenneth N Vanderpuye/

Supervisory Patent Examiner, Art Unit 2613